

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 60019-0011

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Recordation of Assignment Cover Sheet and executed Assignment.

Other: IDS with PTO-1449 and 3 cited references

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, <u>Billy Garrett, Jr.</u>, entitled <u>MECHANISM FOR ENABLING FULL DATA BUS UTILIZATION WITHOUT INCREASING DATA GRANULARITY</u>, for a(n):

| ( ) Continuing Application (prior application not abandoned):  ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  of prior application No: Filed on:  ( ) A statement claiming priority under 35 USC § 120 has been added to the specification. |  |  |  |  |  |
|--|--|--|--|--|--|
| Enclosed are:  |  |  |  |  |  |
| (X) Specification 54 Total Pages; (X) Drawing(s) 12 Total Sheets; (X) Cover Sheet 1 Page   |  |  |  |  |  |
| (X) Specification: <u>54</u> Foliar rages, (X) Drawing(s) <u>12</u> Foliar sheets, (X) Cover sheet <u>1</u> rage  (X) Oath or Declaration: <u>4</u> Pages  |  |  |  |  |  |
| (X) A Newly Executed Combined Declaration and Power of Attorney:   |  |  |  |  |  |
| (X) Signed. ( ) Unsigned. ( ) Partially Signed.  |  |  |  |  |  |
| ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  |  |  |  |  |  |
| ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the   |  |  |  |  |  |
| oath or declaration is supplied, is considered as being part of the disclosure of the accompanying   |  |  |  |  |  |
| application and is hereby incorporated herein by reference.  |  |  |  |  |  |
| ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  |  |  |  |  |  |
| ( ) Power of Attorney. (X) Return Receipt Postcard.  |  |  |  |  |  |
| ( ) Associate Power of Attorney. (X) A Check in the amount of \$\frac{2166.00}{2166.00}\$ for the Filing Fee.  |  |  |  |  |  |
| ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.   |  |  |  |  |  |
| (X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  |  |  |  |  |  |
| ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  |  |  |  |  |  |
| ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).   |  |  |  |  |  |
| ( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  |  |  |  |  |  |
| ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  |  |  |  |  |  |

| CLAIMS AS FILED          |           |           |                  |            |  |
|--------------------------|-----------|-----------|------------------|------------|--|
| FOR                      | NO. FILED | NO. EXTRA | RATE             | FEE        |  |
| Total Claims             | 72        | 52        | \$18.00          | \$ 936.00  |  |
| Independent Claims       | 9         | 6         | \$80.00          | \$ 480.00  |  |
| Multiple Dependent Cla   | \$0.00    |           |                  |            |  |
| Assignment Recording Fee |           |           |                  |            |  |
| Basic Filing Fee         |           |           |                  | \$710.00   |  |
|                          |           |           | Total Filing Fee | \$ 2166.00 |  |

Charge \$\_\_\_\_\_\_ to Deposit Account <u>50-1302</u> pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

Original Patent Application.

By:

Bobby K. Truong, Reg. No. 37,499

Date: April \_\_17\_, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP 1600 Willow Street

San Jose, California 95125-5106 Telephone: (408) 414-1080 Facsimile: (408) 414-1076 I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents Box Patent Application Washington, D.C. 20231

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Date of Deposit: April 17, 2001

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT

| (    | 6)   | 21  | 66      | O  | n |
|------|------|-----|---------|----|---|
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| Complete if Known    |                           |  |  |  |
|----------------------|---------------------------|--|--|--|
| Application Number   | NYA                       |  |  |  |
| Filing Date          | April 17, 2001 (Herewith) |  |  |  |
| First Named Inventor | Billy Garrett, Jr.        |  |  |  |
| Examiner Name        | NYA                       |  |  |  |
| Group/Art Unit       | NYA                       |  |  |  |
| Attomey Docket No.   | 60019-0011                |  |  |  |

| METHOD OF PAYMENT (check one)   |                   | FEE CALCULATION (continued) |             |           |  |             |
|---|-------------------|-----------------------------|-------------|-----------|--|-------------|
| 1. Throughout the pendency of this application, please charge           | je 3. <i>i</i>    |                             |             |           |  |             |
| any additional fees, including any required extension of time           |                   | Entity                      | Small E     | ntity     | Fee Description  | Fee Paid    |
| fees, and credit all overpayments to deposit account 50-                | Fee<br>Code       | Fee S                       | Fee<br>Code | Fee<br>-§ |  |             |
| 1302. A duplicate of this sheet is enclosed.                            |                   | -                           |             | 3         |  |             |
| Deposit<br>Account 50-1302  | 105               | 130                         | 205         | 65        | Surcharge – late filing fee or oath                              |             |
| Number  |                   |                             |             |           |  |             |
| Deposit Transport   | 127               | 50                          | 227         | 25        | Surcharge – late provisional filing fee or                       | <b>——</b>   |
| Account Name Hickman Palermo Truong & Becker, LLP                       |                   |                             |             | 20        | cover sheet.   |             |
|   |                   |                             |             |           |  |             |
| 2. X Payment Enclosed:  | 139               | 130                         | 139         | 130       | Non-English specification  |             |
| [SZ] [Money []  | 112               | 920*                        | 112         | 920*      | Requesting publication of SIR prior to                           |             |
| Check Order Other   |                   | JEU                         | 112         | 320       | Examiner action  |             |
| 3. Applicant(s) is entitled to small entity status                      | 113               | 1,840*                      | 113         | 1,840*    | Requesting publication of SIR after                              |             |
|   | ·                 |                             |             |           | Examiner action  |             |
| See 37 CFR 1.27   |                   |                             |             |           |  |             |
| FEE CALCULATION   | 115               | 110                         | 215         | 55        | Extension for reply within first month                           |             |
| 1. BASIC FILING FEE   | 116               | 390                         | 216         | 195       | Extension for reply within second month                          |             |
| Large Entity Small Entity   | 117               | 890                         | 217         | 445       | Extension for reply within third month                           |             |
| Fee Fee Fee Fee Description   | 118               | 1,390                       | 218         | 695       | Extension for reply within fourth month                          | <del></del> |
| Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee 710.00 | 128               | 1,890                       | 228         | 945       |  |             |
| 101 710 201 000 Clinty ming fee   | 119               | •                           | 219         | 155       | Extension for reply within fifth month  Notice of Appeal         |             |
|   | 120               |                             | 220         | 155       |  |             |
|   | 120               | 270                         | 221         | 135       | Filing a brief in support of an appeal  Request for oral hearing | <u> </u>    |
|   | 138               |                             | 138         | 1,510     | Petition to institute a public use proceeding                    |             |
| 114 150 214 75 Provisional filing fee                                   | 140               |                             | 240         | 55        | Petition to revive – unavoidable                                 | <u> </u>    |
| SUBTOTAL (1) (\$) 710.00  | 141               | 1,210                       | 241         | 605       | Petition to revive – unintentional                               |             |
| 2. EXTRA CLAIM FEES   | 142               | 1,210                       | 242         | 605       | Utility issue fee (or reissue)                                   |             |
| Fee from<br>Extra Claims Below Fee Pai                                  | 143               | 430                         | 243         | 215       | Design issue fee   |             |
| Total Claims 72 -20**= 52 x 18.00 = 936.0                               |                   | 580                         | 244         | 290       | Plant issue fee  |             |
| Independent $9 - 3^{**} = 6 \times 40.00 = 480.0$                       | <sup>)0</sup> 122 | 130                         | 122         | 130       | Petitions to the Commissioner                                    |             |
| Multiple Dependent 270.00 = 0   | 123               | 50                          | 123         | 50        | Petitions related to provisional applications                    |             |
| **or number previously paid, if greater; For Reissues, see below        | / 126             | 240                         | 126         | 240       | Submission of information Disclosure Stmt                        |             |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description               | 581               | 40                          | 581         | 40        | Recording each patent assignment per                             | 40.00       |
| Code (\$) Code (\$)   |                   |                             |             |           | property (times number of properties)                            |             |
| 103 18 203 9 Claims in excess of 20                                     | 146               | 690                         | 246         | 345       | Filing a submission after final rejection (37 CFR § 1.129(a))    |             |
| 102 80 202 40 Independent claims in excess of                           | 3 149             | 690                         | 249         | 345       | For each additional invention to be                              |             |
| 104 270 204 135 Multiple dependent claım, if not paid                   |                   |                             |             |           | examined (37 CFR § 1.129(b))                                     |             |
| 109 80 209 40 **Reissue independent claims                              |                   | fee (spec                   | ifv)        |           |  | 1           |
| over original patent  | 1                 | (                           | ,           |           |  |             |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent  | Other 1           | fee (spec                   | ify) _      |           |  |             |
| SUBTOTAL (2) (\$) 1416.00 *Re   |                   |                             | seic Eilin  | a Eee D   | aid SUBTOTAL (3) (\$) 40.00                                      |             |
| SUBTOTAL (2) (\$) 1416.00 *Reduced by Basic Fili                        |                   |                             |             | y i ee r  | aid SUBTOTAL (3) (\$) 40.00  Complete (if applicable)            |             |

Signature

Name (Print/Type)

Bobby K. Truong

WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to domplete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

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Registration No (Attorney/Agent)

37,499

Telephone

(408) 414-1080

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor |                     | ed Inventor  | Billy Garrett, Jr. |  |  |  |
|----------------------|---------------------|--|--------------------|--|--|--|
|                      | Title               | Mechanism for Enabling Full Data Bus Utilization Without Increasing Data Granularity |                    |  |  |  |
|                      | Atty. Docket Number |  | 60019-0011         |  |  |  |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/17/01 Date

Signature

Bobby K. Truong, (Reg. No. 37,499)
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.** 

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231